

|   |   |   |
|---|---|---|
| <b>TRANSMITTAL LETTER TO THE UNITED STATES<br/>DESIGNATED/ELECTED OFFICE (DO/EO/US)<br/>CONCERNING A SUBMISSION UNDER 35 U.S.C. 371</b> |   | ATTORNEY'S DOCKET NUMBER<br>13111-00004-US<br><br>U.S. APPLICATION NO. (If known, see 37 CFR 1.5)<br><b>10/526254</b> |
| INTERNATIONAL APPLICATION NO.<br>PCT/EP2003/009553  | INTERNATIONAL FILING DATE<br>28 August 2003 | PRIORITY DATE CLAIMED<br>29 August 2002   |
| TITLE OF INVENTION     ADDITIVE MIXTURE FOR FUEL AND LUBRICANTS   |   |   |
| APPLICANT(S) FOR DO/EO/US     Peter Schwab et al.   |   |   |

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371 (f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☒ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c)(2))
  - a. ☒ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)).
  - a. ☒ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
  - a. ☒ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☒ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).

**Items 11 to 20 below concern document(s) or information included:**

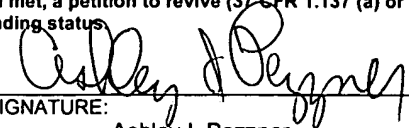
11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A preliminary amendment.
14. ☒ An Application Data Sheet under 37 CFR 1.76.
15. ☐ A substitute specification.
16. ☐ A power of attorney and/or change of address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.
18. ☐ A second copy of the published International Application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☐ Other items or information: Form PCT/IB/301, 304 and 308; Form PCT/IPEA/409

PTO-1390 (Rev. 02-2005)

Approved for use through 03/31/2007. OMB 0651-0021

U. S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

|   |              |   |            |  |              |
|---|--------------|---|------------|--|--------------|
| U.S. APPLICATION NO. (if known, see 37 CFR 1.5)<br><b>10/526254</b>   |              | INTERNATIONAL APPLICATION NO.<br>PCT/EP2003/009553                            |            | ATTORNEY'S DOCKET NUMBER<br>13111-00004-US |              |
| The following fees have been submitted  |              |   |            | CALCULATIONS                               | PTO USE ONLY |
| 21. <input checked="" type="checkbox"/> Basic national fee ..... \$300  |              |   |            | \$ 300.00                                  |              |
| 22. <input checked="" type="checkbox"/> Examination fee   |              |   |            |  |              |
| If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4) ..... \$100  |              |   |            |  |              |
| All other situations ..... \$200  |              |   |            | \$ 200.00                                  |              |
| 23. <input checked="" type="checkbox"/> Search fee  |              |   |            |  |              |
| Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority ..... \$100   |              |   |            |  |              |
| International Search Report prepared and provided to the Office ..... \$400   |              |   |            |  |              |
| All other situations ..... \$500  |              |   |            | \$ 500.00                                  |              |
| TOTAL OF 21, 22 and 23 =  |              |   |            | \$ 1,000.00                                |              |
| <input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.  |              |   |            |  |              |
| Total Sheets  | Extra sheets | Number of each additional 50 or fraction thereof (round up to a whole number) | RATE       |  |              |
| 26 - 100 =  | /50 =        |   | x \$250.00 |  |              |
| Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492 (e)).   |              |   |            | \$   |              |
| CLAIMS  | NUMBER FILED | NUMBER EXTRA  | RATE       |  |              |
| Total claims  | 12 - 20 =    |   | x          | \$ 0.00                                    |              |
| Independent claims  | 1 - 3 =      |   | x          | \$ 0.00                                    |              |
| MULTIPLE DEPENDENT CLAIM(s) (if applicable)   |              |   | +          | \$   |              |
| TOTAL OF ABOVE CALCULATIONS =   |              |   |            | \$ 1,000.00                                |              |
| <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.  |              |   |            | \$   |              |
| SUBTOTAL =  |              |   |            | \$ 1,000.00                                |              |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492 (f)).  |              |   |            | +  | \$           |
| TOTAL NATIONAL FEE =  |              |   |            | \$ 1,000.00                                |              |
| Fee for recording the enclosed assignment (37 CFR 1.21 (h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property   |              |   |            | +  | \$           |
| TOTAL FEES ENCLOSED =   |              |   |            | \$ 1,000.00                                |              |
|   |              |   |            | Amount to be refunded:                     | \$           |
|   |              |   |            | Amount to be charged:                      | \$           |
| <p>a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed.</p> <p>b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. <u>03-2775</u> in the amount of \$ <u>1,000.00</u> to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>03-2775</u>. A duplicate copy of this sheet is enclosed.</p> <p>d. <input type="checkbox"/> Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. <b>Credit card information should not be included on this form.</b> Provide credit card information and authorization on PTO-2038.</p> <p>NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the International Application to pending status.</p> <p>SEND ALL CORRESPONDENCE TO:</p> <p style="text-align: right;"> <br/>       SIGNATURE: Ashley I. Pezzner<br/>       NAME<br/>       CUSTOMER NUMBER: 23416<br/>       35,646<br/>       REGISTRATION NUMBER     </p> |              |   |            |  |              |

Application No. (if known): Not Yet Assigned

Attorney Docket No.: 13111-00004-US

## Certificate of Express Mailing Under 37 CFR 1.10

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail, Airbill No. EV473532187US in an envelope addressed to:

MS PCT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

on February 28, 2005  
Date

  
Signature

J. Lynn Ferry

Typed or printed name of person signing Certificate

Registration Number, if applicable

(302) 658-9141  
Telephone Number

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

Transmittal Letter to the United States Designated-Elected Office  
Fee Transmittal  
Application Data Sheet  
Copy of the International application with search report attached  
Form PCT/IB/301, 304 and 308  
Form PCT/IPEA/409  
English language translation of the International application  
Information Disclosure Statement, SB08, References  
Preliminary Amendment  
Postcard  
Charge \$1,000.00 to deposit account 03-2775